NRI Introduces the NRI Group AI Ethics Guidelines

Tokyo, October 24, 2019 – Nomura Research Institute, Ltd. (NRI), a leading provider of consulting services and system solutions, introduced the NRI Group AI Ethics Guidelines on October 8, 2019. The guidelines summarize the visions and principles to be observed when developing and utilizing AI in the NRI Group (NRI and its group companies) in order to use AI properly for solving social issues and contributing to a sustainable future.

These guidelines shall be the basis for AI-related research, design, development, operation, and utilization in the NRI Group.

■ Background

Recent advancements in AI technology and innovations generated by AI have led to the expectation that AI can solve social problems. However, there is also concern that AI could have negative effects such as expanding unfair discrimination or undermining human dignity. For that reason, there has been more vigorous debate mainly by national governments and international organizations about the various issues that AI could raise.

As a company involved in the development and utilization of AI, the NRI Group introduced the NRI Group AI Ethics Guidelines with the belief that it is essential to mitigate the negative effects of AI while developing and utilizing AI in a proper manner.

■ Overview of the NRI Group AI Ethics Guidelines

The NRI Group AI Ethics Guidelines are to be observed by all NRI Group officers and employees when engaging in AI-related research, design, development, operation, and utilization.

These guidelines incorporate the ideals of the NRI corporate philosophy "Dream up the future," in addition to sustainability management which NRI is also promoting. The guidelines also serve as a pledge by NRI, as a company creating future society, to develop and utilize AI appropriately through dialogue and co-creation with stakeholders while discerning various negative and positive impacts AI has on society.

The NRI Group will be devising mechanisms for these guidelines and implementing them internally to properly reflect the intentions and visions of the guidelines in the activities of the NRI Group. Additionally, the NRI Group will revise these guidelines as needed based on what is discussed in dialogues with various stakeholders.

[Outline of the NRI Group AI Ethics Guidelines]

1. Engaging in dialogue and co-creation with stakeholders

2. Advancement of AI and human resources development

3. Respecting fairness

4. Ensuring safety and security

5. Protecting data and privacy

6. Ensuring transparency

* Please refer to the link below for details about the NRI Group AI Ethics Guidelines.

■ The NRI Group AI Ethics Guidelines

https://www.nri.com/en/sustainability/social/policies

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[For Reference]

■ NRI Group Human Rights Policy https://www.nri.com/en/sustainability/social/policies#jinken

■ NRI's sustainability-related initiatives

- Website: https://www.nri.com/en/sustainability

- Integrated Report: https://www.nri.com/en/ir/report

- ESG Databook: https://www.nri.com/en/sustainability/library/back_number